CALIFORNIA PUBLIC UTILITIES COMMISSION ADVICE LETTER FILING SUMMARY ENERGY UTILITY

MUST BE COMPLETED BY UTILITY (Attach additional pages as needed)				
Company name/CPUC Utility No.:	tility No.: California Pacific Electric Company, LLC/U 933-E			
Utility type:	Contact Person: Michael D. Long			
\square ELC \square GAS	Phone #: 530-543-5277			
□ PLC □ HEAT □ WATER	E-mail: mike.long@libertyutilities.com			
EXPLANATION OF UTILITY TYPE		(Date Filed/ Received Stamp by CPUC)		
ELC = ElectricGAS = GasPLC = PipelineHEAT = Heat	WATER = Water			
Advice Letter (AL) #: 28-E				
Subject of AL: Change in Name of California Pacific Electric Company, LLC to Liberty Utilities (CalPeco Electric) LLC and Notice of Corporate Changes				
Keywords (choose from CPUC listing):				
AL filing type: \Box Monthly \Box Quarterly \Box Annual \blacksquare One-Time \Box Other				
If AL filed in compliance with a Commission order, indicate relevant Decision/Resolution #:				
Does AL replace a withdrawn or rejected AL? If so, identify the prior AL:				
Summarize differences between the AL and the prior withdrawn or rejected AL ¹ :				
Resolution Required? □ Yes ☑ No Tier De		esignation: $\square 1 \square 2 \square 3$		
Requested effective date: July 15, 2013No. of tariff sheets: 451				
Estimated system annual revenue effect: (%): N/A				
Estimated system average rate effect (%): N/A				
When rates are affected by AL, include attachment in AL showing average rate effects on customer classes (residential, small commercial, large C/I, agricultural, lighting).				
Tariff schedules affected: Entire Tariff				
Pending advice letters that revise the same tariff sheets: N/A				
Protests and all other correspondence regarding this AL are due no later than 20 days after the date of this filing, unless otherwise authorized by the Commission, and shall be sent to:				
CPUC, Energy Division Attention: Tariff Unit 505 Van Ness Ave. San Francisco, CA 94102 edtariffunit@cpuc.ca.gov	Att 933 Sou	perty Utilities (CalPeco Electric) LLC ention: Advice Letter Protests B Eloise Avenue ath Lake Tahoe, CA 96150 aail: mike.long@libertyutilities.com		



933 Eloise Avenue South Lake Tahoe, CA 96150 Tel: 800-782-2506

VIA EMAIL AND HAND-DELIVERY

July 15, 2013

Advice Letter 28-E (U 933-E)

California Public Utilities Commission Attn: Edward Randolph, Director, Energy Division Energy Division, 4th Floor 505 Van Ness Avenue San Francisco, CA 94102-3298

Subject: Change in Name of California Pacific Electric Company, LLC to Liberty Utilities (CalPeco Electric) LLC and Notice of Corporate Changes

Pursuant to General Order 96-B, California Pacific Electric Company, LLC (U-933-E) ("CalPeco") submits this notification of a change in the name of California Pacific Electric Company, LLC to Liberty Utilities (CalPeco Electric) LLC ("Liberty") and of the deletion of two intermediate entities currently existing between itself and Algonquin Power & Utilities Corp. ("Algonquin"), CalPeco's ultimate parent.¹

Background

Algonquin is making certain changes in the corporations upstream of CalPeco for the purpose of simplifying and flattening the organizational structure. The changes are the deletion of two entities, California Pacific Utility Ventures, LLC ("CPUV"), the current direct parent of CalPeco, and CPUV's parent, Liberty Energy Utilities Co. ("LEUC"). The removal of CPUV and LEUC will be accomplished first by CPUV merging into LEUC and then LEUC merging into its parent Liberty Utilities Co. With the deletion of these two intermediate companies CalPeco will be directly owned by Liberty Utilities Co., a wholly owned indirect subsidiary of Algonquin.

The deletion of CPUV and LEUC will not cause any acquisition of, or change of control of, CalPeco, directly or indirectly. Management of CalPeco will not change in any manner. Neither CPUV nor LEUC provide any services to CalPeco. The elimination of these entities will have no impact on any aspect of the operations of the utility. The removal of these two intermediate entities will not cause any change in the rates, terms or conditions of service for CalPeco's

¹ The Commission authorized Algonquin and Emera, Inc. to acquire CalPeco in Decision 10-10-017. In Decision 12-06-005, the Commission authorized Algonquin to become the sole owner of CalPeco.

California Public Utilities Commission July 15, 2013 Page 2

customers. Thus CalPeco's customers will not be affected in any way by the elimination of these two Algonquin intermediate subsidiaries.

Additionally, CalPeco and its ultimate parent Algonquin remain obligated to comply fully with the Regulatory Commitments set forth in Appendix 3 of Decision 10-10-017. Neither the Regulatory Commitments nor CalPeco's or Algonquin's corresponding obligations will change in any way as a result of the deletion of the two intermediate companies.

Name Change

California Pacific Electric Company, LLC is the formal name of the utility which holds the franchise to provide electric service to the service area within California previously served by Sierra Pacific Power Company.² The Commission has assigned the utility number designation U-933 to CalPeco.³ In addition to its use of the name CalPeco for Commission and other formal proceedings, CalPeco has also operated and is generally known within its California service territory under the "dba" ("doing business as") Liberty Utilities – California Pacific Electric Company.

In conjunction with its simplification of its corporate organization, Algonquin believes it is appropriate to formally cease using the unique name California Pacific Electric Company, LLC. Algonquin operates a variety of utilities across the United States providing water, sewer, electric and natural gas services. Algonquin intends that each of these operating utilities have "Liberty Utilities" as part of its name and accordingly is changing the name of its California-jurisdictional utility to Liberty Utilities (CalPeco Electric) LLC.

CalPeco is also submitting the necessary documents with the California Secretary of State to effectuate the name change. It has requested that the Secretary of State allow the name change to become effective as of July 15, 2013. CalPeco is also submitting with this advice letter revised tariffs reflecting the change in name to Liberty Utilities (CalPeco Electric) LLC throughout its tariffs.

Relief Requested

CalPeco requests that as of the effective date requested below that it be:

- 1. Authorized to change the name of the utility providing electric service within its franchised service territory from California Pacific Electric Company, LLC to Liberty Utilities (CalPeco Electric) LLC;
- 2. Authorized to transfer the Commission utility number designation of U-933 from CalPeco to Liberty Utilities (CalPeco Electric) LLC; and
- 3. Have accepted under General Order 96-B, Section 5.1(2) revised tariffs reflecting nonsubstantive editorial changes to the text of the tariffs that effectuate the change of name

² See Decision 10-10-017, Ordering Paragraph 1.

³ See Decision 11-02-015, Ordering Paragraph 1(d).

to Liberty Utilities (CalPeco Electric) LLC and that do not affect a rate, charge, term, or condition under the tariff.

Protests

Anyone wishing to protest this advice letter may do so by letter sent via U.S. mail, by facsimile or by email, any of which must be received no later than August 5, 2013, which is 20 days after the date of this filing. The protest shall set forth the grounds upon which it is based and shall be submitted expeditiously. There is no restriction on who may file a protest. Protests should be mailed to:

CPUC Energy Division Attention: Tariff Unit, 4th Floor 505 Van Ness Avenue San Francisco, CA 94102 Facsimile: (415) 703-2200 Email: mas@cpuc.ca.gov and edtariffunit@cpuc.ca.gov

The protest also should be sent via email and U.S. Mail to CalPeco at the addresses show below on the same date it is mailed or delivered to the Commission.

Liberty Utilities (CalPeco Electric) LLC Attn.: Advice Letter Protests 933 Eloise Avenue South Lake Tahoe, CA 96150 Fax: 905-465-4514 Email: mike.long@libertyutilities.com

With a copy to:

Steven F. Greenwald Vidhya Prabhakaran Davis Wright Tremaine LLP 505 Montgomery Street, Suite 800 San Francisco, CA 94111 Fax: 415-276-6599 Email: stevegreenwald@dwt.com Email: vidhyaprabhakaran@dwt.com

Effective Date

Pursuant to General Order 96-B, CalPeco submits this as a Tier 1 Advice Letter filing. General Order 96-B allows a Tier 1 Advice Letter to become effective upon filing. However, as authorized by Section 7.3.2 of General Order 96-B, CalPeco requests that this advice letter be allowed to become effective as of July 15, 2013, which is the date that CalPeco has requested that the Secretary of State authorize the requested name change to also become effective.

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Notice

In accordance with General Order 96-B, Section 4.3, a copy of this advice letter is being sent electronically and via U.S. mail to parties shown on the attached list.

If additional information is required, please contact Michael Long, Director of Finance and Manager of Regulatory Affairs (Mike.Long@libertyutilities.com).

Sincerely,

Michael Sang

Michael D. Long Director of Finance and Manager of Regulatory Affairs Liberty Utilities

Liberty Utilities (CalPeco Electric) LLC Advice Letter Filing Service List General Order 96-B, Section 4.3

A.08-08-004 Service List ljt@cpuc.ca.gov jeffreygray@dwt.com gbinge@ktminc.com emello@sppc.com epoole@adplaw.com cem@newsdata.com rmccann@umich.edu sheila@wma.org abb@eslawfirm.com cbk@eslawfirm.com bhodgeusa@yahoo.com dlf@cpuc.ca.gov mmg@cpuc.ca.gov md2@cpuc.ca.gov tlg@cpuc.ca.gov

A.09-10-028 and A.10-04-032 Service List

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